



## Recursion

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CS8 – Computational Structures in Data Science  
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Lecture 5  
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## Computational Concepts Toolbox

- Data type: values, literals, operations,
  - e.g., int, float, string
- Expressions, Call expression
- Variables
- Assignment Statement
- Sequences: tuple, list
  - indexing
- Data structures
- Tuple assignment
- Call Expressions
- Function Definition Statement
- Conditional Statement
- Iteration:
  - data-driven (list comprehension)
  - control-driven (for statement)
  - while statement
- Higher Order Functions
  - Functions as Values
  - Functions with functions as argument
  - Assignment of function values
- Higher order function patterns
  - Map, Filter, Reduce
- Function factories – create and return functions



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## Today: Recursion



re·cur·sion

/rɪ'kərzhən/ ⓘ

*noun* MATHEMATICS | LINGUISTICS

the repeated application of a recursive procedure or definition.

• a recursive definition.

plural noun: recursions

re·cur·sive

/rɪ'kərsɪv/ ⓘ

*adjective*

characterized by recurrence or repetition, in particular.

• MATHEMATICS | LINGUISTICS

relating to or involving the repeated application of a rule, definition, or procedure to successive results.

• COMPUTING

relating to or involving a program or routine of which a part requires the application of the whole, so that its explicit interpretation requires in general many successive executions.

- Recursive function calls itself, directly or indirectly

## Administrative Issues



- Midterm exam: wed Oct 3 6-8 pm

– Room based on last digit of SID

– 0-5 LeConte 1 (60%)

– 6-9: VLSB 2040

– Alternative and accommodations during 5-9 by request

- Labs are to help you learn the materials, so please make full use of them

- Materials will go through 10/1 Lecture

- Office hours start here after class and migrate down to BIDS in 190 Doe Library

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## Review: Higher Order Functions



- Functions that operate on functions
- A function

```
def odd(x):
    return x%2

>>> odd(3)
1
```

Why is this not 'odd' ?

- A function that takes a function arg

```
def filter(fun, s):
    return [x for x in s if fun(x)]

>>> filter(odd, [0,1,2,3,4,5,6,7])
[1, 3, 5, 7]
```

## Review Higher Order Functions (cont)



- A function that returns (makes) a function

```
def leq_maker(c):
    def leq(val):
        return val <= c
    return leq
```

```
>>> leq_maker(3)
<function leq_maker.<locals>.leq at 0x1019d8c80>
```

```
>>> leq_maker(3)(4)
False
```

```
>>> filter(leq_maker(3), [0,1,2,3,4,5,6,7])
[0, 1, 2, 3]
>>>
```

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## One more example

- What does this function do?

```
def split_fun(p, s):  
    """ Returns <you fill this in>.  
    return [i for i in s if p(i)], [i for i in s if not p(i)]
```

```
>>> split_fun(lambda x: x%2==0, [0,1,2,3,4,5,6])  
([0, 2, 4, 6], [1, 3, 5])
```

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## Recall: Iteration

```
def sum_of_squares(n):  
    accum = 0  
    for i in range(1,n+1):  
        accum = accum + i*i  
    return accum
```

1. Initialize the "base" case of no iterations

2. Starting value

3. Ending value

4. New loop variable value

- Loops are a simple form of recursion – linear recursion

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## Remember

```
fibonacci(n) = fibonacci(n-1) + fibonacci(n-2)  
where fibonacci(1) == fibonacci(0) == 1
```



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## Recursion Key concepts – by example

```
def sum_of_squares(n):  
    if n < 1:  
        return 0  
    else:  
        return sum_of_squares(n-1) + n**2
```

1. Test for simple "base" case

2. Solution in simple "base" case

3. Assume recursive solution  
to simpler problem4. Transform soln of simpler  
problem into full soln

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## In words

- The sum of no numbers is zero
- The sum of  $1^2$  through  $n^2$  is the
  - sum of  $1^2$  through  $(n-1)^2$
  - plus  $n^2$

```
def sum_of_squares(n):  
    if n < 1:  
        return 0  
    else:  
        return sum_of_squares(n-1) + n**2
```

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## Why does it work

```
sum_of_squares(3)
```

```
# sum_of_squares(3) => sum_of_squares(2) + 3**2  
#           => sum_of_squares(1) + 2**2 + 3**2  
#           => sum_of_squares(0) + 1**2 + 2**2 + 3**2  
#           => 0 + 1**2 + 2**2 + 3**2 = 14
```

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## How does it work?

- Each recursive call gets its own local variables
  - Just like any other function call
- Computes its result (possibly using additional calls)
  - Just like any other function call
- Returns its result and returns control to its caller
  - Just like any other function call
- The function that is called happens to be itself
  - Called on a simpler problem
  - Eventually bottoms out on the simple base case
- Reason about correctness “by induction”
  - Solve a base case
  - Assuming a solution to a smaller problem, extend it



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## Questions

- In what order do we sum the squares ?
- How does this compare to iterative approach ?

```
def sum_of_squares(n):
    accum = 0
    for i in range(1,n+1):
        accum = accum + i*i
    return accum
```

```
def sum_of_squares(n):
    if n < 1:
        return 0
    else:
        return sum_of_squares(n-1) + n*n
```

```
def sum_of_squares(n):
    if n < 1:
        return 0
    else:
        return n*n + sum_of_squares(n-1)
```

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## Local variables



```
def sum_of_squares(n):
    n_squared = n**2
    if n < 1:
        return 0
    else:
        return n_squared + sum_of_squares(n-1)
```

- Each call has its own “frame” of local variables
- What about globals?
- Let’s see the environment diagrams

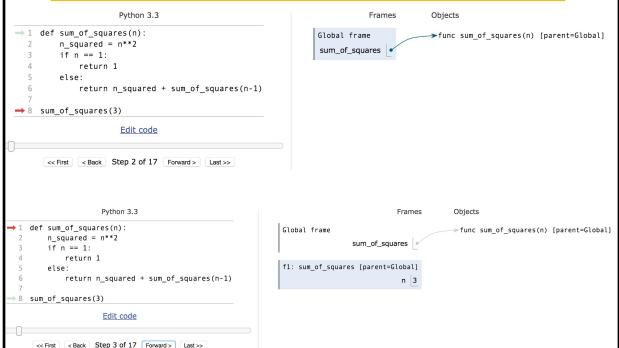
<https://goo.gl/CiFaUJ>

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## Environments Example



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## Environments Example

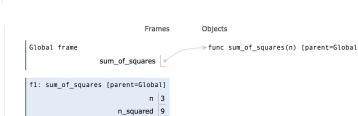
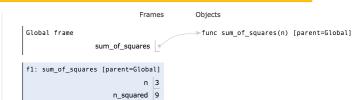


```
def sum_of_squares(n):
    n_squared = n**2
    if n == 1:
        return 1
    else:
        return n_squared + sum_of_squares(n-1)

sum_of_squares(3)
```

```
def sum_of_squares(n):
    n_squared = n**2
    if n == 1:
        return 1
    else:
        return n_squared + sum_of_squares(n-1)

sum_of_squares(3)
```

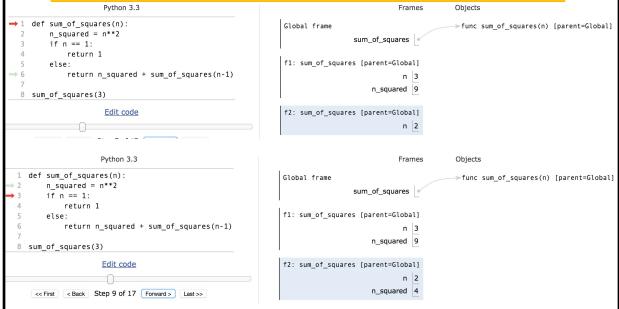


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## Environments Example



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## Environments Example



```
Python 3.3
1 def sum_of_squares(n):
2     n_squared = n**2
3     if n == 1:
4         return 1
5     else:
6         return n_squared + sum_of_squares(n-1)
7
8 sum_of_squares(3)
```

Edit code  
 << First < Back Step 10 of 17 > Forward > Last >>

that has just executed  
line to execute

Frames Objects  
 Global frame sum\_of\_squares → func sum\_of\_squares(n) [parent=Global]  
 f1: sum\_of\_squares [parent=Global] n 3  
 n\_squared 9  
 f2: sum\_of\_squares [parent=Global] n 2  
 n\_squared 4  
 f3: sum\_of\_squares [parent=Global] n 1

Frames Objects  
 Global frame sum\_of\_squares → func sum\_of\_squares(n) [parent=Global]  
 f1: sum\_of\_squares [parent=Global] n 3  
 n\_squared 9  
 f2: sum\_of\_squares [parent=Global] n 2  
 n\_squared 4  
 f3: sum\_of\_squares [parent=Global] n 1

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## Environments Example



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that has just executed  
line to execute

Python 3.3  
 1 def sum\_of\_squares(n):
2 n\_squared = n\*\*2
3 if n == 1:
4 return 1
5 else:
6 return n\_squared + sum\_of\_squares(n-1)
7
8 sum\_of\_squares(3)

Edit code  
 << First < Back Step 11 of 17 > Forward > Last >>

that has just executed  
line to execute

Frames Objects  
 Global frame sum\_of\_squares → func sum\_of\_squares(n) [parent=Global]  
 f1: sum\_of\_squares [parent=Global] n 3  
 n\_squared 9  
 f2: sum\_of\_squares [parent=Global] n 2  
 n\_squared 4  
 f3: sum\_of\_squares [parent=Global] n 1  
 n\_squared 1  
 Return value 1

Frames Objects  
 Global frame sum\_of\_squares → func sum\_of\_squares(n) [parent=Global]  
 f1: sum\_of\_squares [parent=Global] n 3  
 n\_squared 9  
 f2: sum\_of\_squares [parent=Global] n 2  
 n\_squared 4  
 f3: sum\_of\_squares [parent=Global] n 1  
 n\_squared 1  
 Return value 1

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## Environments Example



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that has just executed  
line to execute

Python 3.3  
 1 def sum\_of\_squares(n):
2 n\_squared = n\*\*2
3 if n == 1:
4 return 1
5 else:
6 return n\_squared + sum\_of\_squares(n-1)
7
8 sum\_of\_squares(3)

Edit code  
 << First < Back Step 15 of 17 > Forward > Last >>

that has just executed  
line to execute

Frames Objects  
 Global frame sum\_of\_squares → func sum\_of\_squares(n) [parent=Global]  
 f1: sum\_of\_squares [parent=Global] n 3  
 n\_squared 9  
 f2: sum\_of\_squares [parent=Global] n 2  
 n\_squared 4  
 f3: sum\_of\_squares [parent=Global] n 1  
 n\_squared 1  
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Frames Objects  
 Global frame sum\_of\_squares → func sum\_of\_squares(n) [parent=Global]  
 f1: sum\_of\_squares [parent=Global] n 3  
 n\_squared 9  
 f2: sum\_of\_squares [parent=Global] n 2  
 n\_squared 4  
 f3: sum\_of\_squares [parent=Global] n 1  
 n\_squared 1  
 Return value 1

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## Another Example



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that has just executed  
line to execute

```
def first(s):
    """Return the first element in a sequence."""
    return s[0]
def rest(s):
    """Return all elements in a sequence after the first"""
    return s[1:]
def min_r(s):
    """Return minimum value in a sequence."""
    if s == []:
        Base Case
    else:
        Recursive Case
```

indexing an element of a sequence

Slicing a sequence of elements

- Recursion over sequence length, rather than number magnitude

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## Visualize its behavior (print)

```
In [104]: def min_r(s):
    print('min_r:', s)
    if len(s) == 1:
        return first(s)
    else:
        result = min(first(s), min_r(rest(s)))
        print('min_r:', s, "=>", result)
    return result

In [105]: min_r([3,4,2,5,11])
min_r: [3, 4, 2, 5, 11]
min_r: [4, 2, 5, 11]
min_r: [2, 5, 11]
min_r: [5, 11]
min_r: [11]
min_r: [5, 11] => 5
min_r: [2, 5, 11] => 2
min_r: [4, 2, 5, 11] => 2
min_r: [3, 4, 2, 5, 11] => 2
```

- What about sum?
- Don't confuse print with return value

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## Recursion with Higher Order Fun

```
def map(f, s):
    if _____:
        Base Case
    else:
        Recursive Case

def square(x):
    return x**2

>>> map(square, [2,4,6])
[4, 16, 36]
```

- Divide and conquer

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## Trust ...

- The recursive “leap of faith” works as long as we hit the base case eventually

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## How much ???

- Time is required to compute `sum_of_squares(n)`?
  - Recursively?
  - Iteratively ?
- Space is required to compute `sum_of_squares(n)`?
  - Recursively?
  - Iteratively ?
- Count the frames...
- Recursive is linear, iterative is constant !
- And what about the order of evaluation ?

Linear  
proportional to n  
 $cn$  for some c

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## Tail Recursion

- All the work happens on the way down the recursion
- On the way back up, just return

```
def sum_up_squares(i, n, accum):
    """Sum the squares from i to n in incr. order"""
    if i > n:
        Base Case
    else:
        Tail Recursive Case

>>> sum_up_squares(1,3,0)
14
```

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## Using HOF to preserve interface

```
def sum_of_squares(n):
    def sum_upper(i, accum):
        if i > n:
            return accum
        else:
            return sum_upper(i+1, accum + i*i)

    return sum_upper(1,0)
```

- What are the globals and locals in a call to `sum_upper`?
  - Try [python tutor](#)
- Lexical (static) nesting of function def within def - vs
- Dynamic nesting of function call within call

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## Tree Recursion

- Break the problem into multiple smaller sub-problems, and Solve them recursively

```
def split(x, s):
    return [i for i in s if i <= x], [i for i in s if i > x]

def qsort(s):
    """Sort a sequence - split it by the first element,
    sort both parts and put them back together."""
    if not s:
        return []
    else:
        pivot = first(s)
        lessor, more = split(pivot, rest(s))
        return qsort(lessor) + [pivot] + qsort(more)

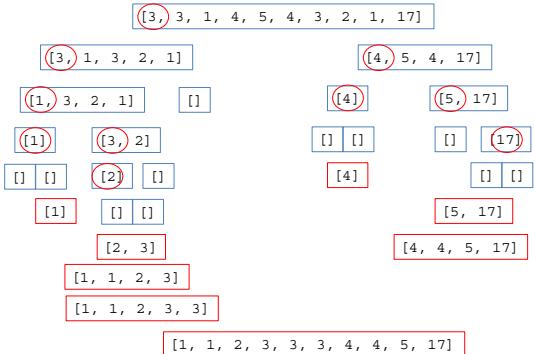
>>> qsort([3,3,1,4,5,4,3,2,1,17])
[1, 1, 2, 3, 3, 4, 4, 5, 17]
```

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## QuickSort Example



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## Tree Recursion with HOF

```
def qsort(s):
    """Sort a sequence - split it by the first element,
    sort both parts and put them back together."""

    if not s:
        return []
    else:
        pivot = first(s)
        lessor, more = split_fun(lambda pivot: rest(s))
        return qsort(lessor) + [pivot] + qsort(more)

>>> qsort([3,3,1,4,5,4,3,2,1,17])
[1, 1, 2, 3, 3, 4, 4, 5, 17]
```

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## Computational Concepts Toolbox

- Data type:** values, literals, operations,
  - e.g., int, float, string
- Expressions, Call expression**
- Variables**
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- Sequences: tuple, list**
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- Data structures**
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- Higher Order Functions**
  - Functions as Values
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  - Assignment of function values
- Recursion**



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